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Attorney Docket No. ICRU-002/01US

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Erik Richard SMITH et al.

Serial No.: 09/997,237

Examiner: Unassigned

Confirmation No.: Unassigned

Art Unit: 2151

Filed: November 30, 2001

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APR 05 2002For: SYSTEM AND METHOD FOR DELIVERING DYNAMIC CONTENT  
Technology Center 2100Commissioner for Patents  
Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form  
PTO/SB/08 for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
  - ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. \_\_\_ in the amount of \$\_\_\_ for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.
- ☐ Please charge \$\_\_\_ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

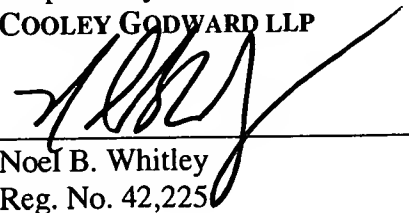
Dated: April 4, 2002

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By:

  
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Noel B. Whitley  
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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,  
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.  
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08 are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) \_\_\_\_ listed on the attached Form PTO/SB/08 were cited in a foreign search or examination report corresponding to \_\_\_\_ application serial no. \_\_\_\_ and mailed on \_\_\_\_.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. English language publication \_\_\_\_ (copy enclosed) claims priority from this non-English publication.

- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_ cited in the attached Form PTO/SB/08.
- ☐ Enclosed is a copy of pending patent Application Serial No. \_\_\_.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08 to the undersigned.

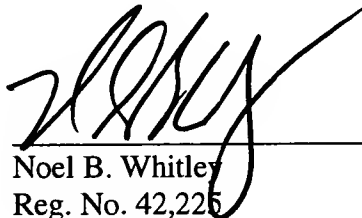
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Respectfully submitted,  
COOLEY GODWARD LLP

By:

  
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Noel B. Whitley  
Reg. No. 42,226

Please type a plus sign (+) inside this box → +



PTO/SB/08A (08-00)

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>													
Sheet <b>1</b> of <b>2</b>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/997,237</td> </tr> <tr> <td>Filing Date</td> <td>November 30, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Erik R. SMITH et al.</td> </tr> <tr> <td>Group Art Unit</td> <td>2151</td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>ICRU002/01US</td> </tr> </table>				Application Number	09/997,237	Filing Date	November 30, 2001	First Named Inventor	Erik R. SMITH et al.	Group Art Unit	2151	Examiner Name	Unassigned	Attorney Docket Number	ICRU002/01US
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	1	6,192,398	BI	Hunt	02-20-2001
	2	6,185,572	BI	Blackman et al.	02-06-2001
	3	6,182,122	BI	Berstis	01-30-2001
	4	6,182,111	BI	Inohara et al.	01-30-2001
	5	6,138,162		Pistriotto et al.	10-24-2000
	6	6,128,701		Malcolm et al.	10-03-2000
	7	6,112,281		Bamford et al.	08-29-2000
	8	6,112,279		Wang	08-29-2000
	9	6,108,703		Leighton et al.	08-22-2000
	10	6,108,664		Nori et al.	08-22-2000
	11	6,078,927		Blackman et al.	06-20-2000
	12	6,061,504		Tzelnic et al.	05-09-2000
	13	6,041,344		Bodamer et al.	03-21-2000
	14	6,029,163		Ziauddin	02-22-2000
	15	6,026,391		Osborn et al.	02-15-2000
	16	5,991,768		Sun et al.	11-23-1999
	17	5,987,463		Draaijer et al.	11-16-1999
	18	5,987,233		Humphrey	11-16-1999
	19	5,937,414		Souder et al.	08-10-1999
	20	5,937,409		Wetherbee	08-10-1999
	21	5,933,593		Arun et al.	08-03-1999
	22	5,926,816		Bauer et al.	07-20-1999
	23	5,920,700		Gordon et al.	07-06-1999
	24	5,899,986		Ziauddin	05-04-1999
	25	5,892,945		Mirchandaney et al.	04-06-1999
	26	5,884,325		Bauer et al.	03-16-1999
	27	5,878,220		Olkin et al.	03-02-1999
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	29	5,870,765		Bauer et al.	02-09-1999
	30	5,870,761		Demers et al.	02-09-1999
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	34	5,568,181		Greenwood et al.	10-22-1996
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	36	6,021,470		Frank et al.	02-01-2000
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	41	6,073,163		Clark et al.	06-06-2000
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	44	5,799,306		Sun et al.	08-25-1998
	45	5,805,804		Laursen et al.	09-08-1998
	46	5,806,075		Jain et al.	09-08-1998

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

Substitute for form 1449A/PTO **APR 04 2002**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(use as many sheets as necessary)

*Complete if Known*

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Sheet 2 of 2

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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>4</sup>
		Office <sup>1</sup>	Number <sup>2</sup>	Kind Code <sup>3</sup> (if known)			
	47	WO	01/08048	A1	Bhatt et al.	02-01-2001	
	48	EP	1 035 703	A1	Sanjoy et al.	09-13-2000	
	49	WO	00/45303	A1	Lara et al.	08-03-2000	
	50	WO	99/41664	A1	Bamford et al.	08-19-1999	
	51	WO	99/23558	A1	Jacobs et al.	05-14-1999	
	52	WO	99/23557	A1	Jacobs et al.	05-14-1999	
	53	WO	98/34386	A1	Adunuthula et al.	08-06-1998	
	54	WO	99/23784	A2	Chou et al.	05-14-1999	
	55	WO	00/65441	A2	Klots et al.	11-02-2000	
	56	WO	00/63800	A1	Cusson et al.	10-26-2000	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>2</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>4</sup> Applicant is to place a check mark here if English language Translation is attached.